

RECEIVED NOV 10 2003 TC 1700

PATENT 1155-0222P

IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant:

Terunori FUJITA et al. Conf.: 9,790

Appl. No.:

09/942,706

Group: 1755

Filed:

August 31, 2001

Examiner: J. PASTERCZYK

For:

OLEFIN POLYMERIZATION CATALYSTS,

TRANSITION METAL COMPOUNDS, PROCESSES FOR OLEFIN POLYMERIZATION, AND ALPHA-OLEFIN/CONJUGATED DIENE COPOLYMERS

INFORMATION DISCLOSURE STATEMENT (SUBMISSION AFTER FILING OF AN APPLICATION BUT BEFORE FINAL REJECTION OR NOTICE OF ALLOWANCE OR CONCURRENTLY WITH A RULE 1.114 RCE APPLICATION)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 November 3, 2003

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, applicant(s) hereby submit(s) an Information Disclosure Statement for consideration by the Examiner.

## LIST OF PATENTS, PUBLICATIONS OR OTHER INFORMATION I.

The patents, publications, or other information submitted for consideration by the Office are listed on the PTO-1449(s), attached hereto.

## 11/04/2003 KGUTEMA1 00000083 09942706

COPIES (check at least one box) 01 FC:1806 II.

180.00 CP

- This application was filed before June 30, 2003.  $\boxtimes$ a. Accordingly, submitted herewith is a legible copy of (i) each U.S. and foreign patent; (ii) each publication or that portion which caused it to be listed; and (iii) all other information or that portion which caused it to be listed.
- was filed on or This application b. June 30, 2003. Accordingly, copies of cited U.S. patents and patent application publications therefore are not included. Copies of foreign

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patent documents and non-patent literature are

Some or all of the documents included.

Some or all of the documents included.

1449 are not enclosed because they were the International Search Report and copies should already be in the PTO file. If copies are needed not because contact the undersigned. c.  $\square$ 

## III. CONCISE EXPLANATION OF THE RELEVANCE (check at least one box)

DOCUMENTS IN THE ENGLISH LANGUAGE  $\boxtimes$ a.

> The patents, publications, or other information listed on the attached PTO 1449 are in the English language and therefore, do not require a statement of relevancy.

DOCUMENTS NOT IN THE ENGLISH LANGUAGE b. 

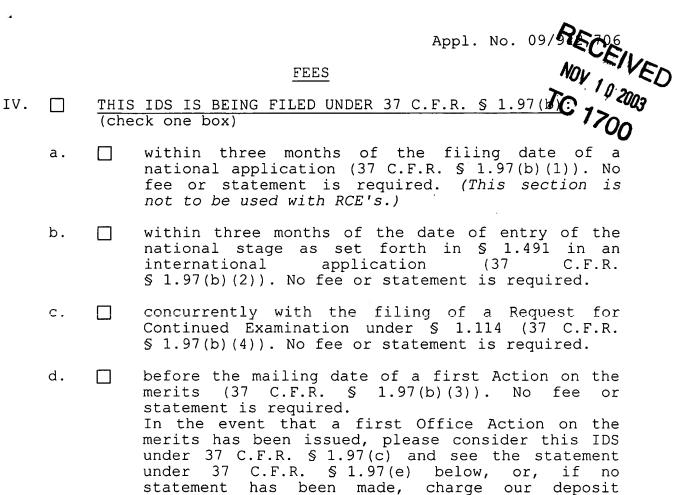
> A concise explanation of the relevance of all patents, publications, or other information listed that is not in the English language is as follows:

ENGLISH LANGUAGE SEARCH REPORT c.  $\Box$ 

> An English language version of the search report or action that indicates the degree of relevance found by the foreign office is attached, thereby satisfying the requirement for a concise explanation. See MPEP 609(III)(A)(3).

OTHER d.  $\Box$ 

> The following additional information is provided for the Examiner's consideration.



### V. $\boxtimes$ THIS IDS IS BEING FILED UNDER 37 C.F.R. § 1.97(c): (check one box)

37 C.F.R. § 1.17(p).

before the mailing date of a Final Office Action under 37 C.F.R.  $\S$  1.113 (See 37 C.F.R.  $\S$  1.97(c)(1)) or before the mailing date of a Notice of Allowance under 37 C.F.R. § 1.311 (See 37 C.F.R. § 1.97(c)(2)).

account in the amount of \$180.00 as required by

- No statement; therefore, a fee in the amount of a. M. \$180.00 as required by 37 C.F.R. \$1.17(p).
- b. See the statement below. No fee is required.

VI.	STAT	MENT UNDER	37 C.F.R. § 1.97(e) (check only one box)						
	The	e undersigned hereby states that							
	a.	first Patent	tem of information contained in the IDS was cited in any communication from a foreign Office in a counterpart foreign ation not more than three months prior to ling of this IDS; or						
	b.	cited Office to th certif item design	em of information contained in the IDS was in a communication from a foreign Patent in a counterpart foreign application, and, we knowledge of the person signing the ication after making reasonable inquiry, no of IDS was known to any individual ated in 37 C.F.R. § 1.56(c) more than three prior to the filing of the IDS.						
	С.	communithis each if first Patent applice the finform no it in th foreig applice after indivi	of the items of information were cited in a ication from a foreign Patent Office. As to information, the undersigned states that tem of information contained in the IDS was cited in a communication from a foreign Office in a counterpart foreign ation not more than three months prior to iling of this IDS. As to the remaining ation, the undersigned hereby states that em of this remaining information contained IDS was cited in a communication from a n Patent Office in a counterpart foreign ation and, to the best of my knowledge making reasonable inquiry, was known to any dual designated in 37 C.F.R. § 1.56(c) more three months prior to the filing of this ent.						
VII.	PAYMI	PAYMENT OF FEES (check one box)							
	$\boxtimes$	A check in the amount of $$180.00$ as required by 3 C.F.R. $$1.17(p)$ is enclosed for the above-identifie fee.							
		Please charge Deposit Account No. 02-2448 in the amount required by 37 C.F.R. § 1.17(p) for the above-indicated fee. A triplicate copy of this paper is attached.							
		No fee is r	equired.						

If the Examiner has any questions concerning this IDS, he/she is requested to contact the undersigned. If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule and charge the appropriate fee to Deposit Account No. 02-2448.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fees required under 37 C.F.R. § 1.16 or under § 1.17; particularly, extension of time fees.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

y - un

Marc S. Weiner, #32,181

MSW/REG:jls 1155-0222P P.O. Box 747
Falls Church, VA 22040-0747
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Attachment(s): ⋈ PTO-1449

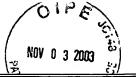
□ Documents

Foreign Search Report

⊠ Fee

☐ Other:

(Rev. 09/30/03)



INFORMATION DISCLOSURE CITATION

Form PTO-1449

IN AN APPLICATION (Use several sheets if necessary) ATTY. DOCKET NO. 1155-0222P APPLICATION NO.

APPLICANT

FILING DATE

T. Fujita et al.

APPLICATION NO. 09/PECE/VEL NOV 10 2003 175 C 1700

August 31, 2001

U.S. PATENT DOCUMENTS								
EXAMINER INITIAL	DOCUMENT NUMBER	Kind	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
	US 3,931,127		1976-01-06	Halasa et al.				
	US 5,191,052		1993-03-02	Welborn, Jr.				
	US							
	US							
	US	1						
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	US	1						
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# FOREIGN PATENT DOCUMENTS TRANSLATION Office DOCUMENT Kind DATE COUNTRY CLASS SUB CLASS NUMBER

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OTHER DOCUMENTS (Include Name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.											
EXAMINER						DATE CONSIDERED	_				

EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

